



*Dale R. Folwell, CPA*

# UNCLAIMED PROPERTY

**BRENDA D. WILLIAMS**  
DEPUTY TREASURER

## NAUPA CLASS CODES AND DORMANCY PERIODS FOR NON-GOVERNMENTAL HOLDERS

Class Code	Dormant Period	Code	Dormant Period	Class Code	Dormant Period			
<b>ACCOUNT BALANCES</b>			<b>INSURANCE</b>			<b>SECURITIES</b>		
AC01	5	AC01	5	SC01	3			
AC02	5	IN01	5	SC02	3			
AC03	10	IN02	5	SC03	3			
AC04	5	IN03	3	SC04	3			
AC05	5	IN04	3	SC05	3			
AC06	5	IN05	5	SC06	3			
AC07	5	IN06	5	SC07	3			
AC08	5	IN07	5	SC08	3			
AC99		IN08	5	Office				
<b>UNCASHED CHECKS</b>			IN99	5	SC09	3		
CK01	7	<b>TRUST, INVESTMENTS, AND ESCROW ACCOUNTS</b>			SC10	3		
CK02	7	TR01	3	Shares of Successor Corp				
CK03	7	TR02	3	SC11	3			
CK04	5	TR03	5	Other Cert of Ownership				
CK05	5	TR04	5	SC12	3			
CK06	5	TR05	5	Underlying Shares or Other Outstanding Certificates				
CK07	7	TR99		SC13	3			
CK08	15	<b>MINERAL PROCEEDS &amp; MINERAL INTERESTS</b>			Funds for Liquidation Redemption of Surrendered Stocks or Bonds			
CK09	5	MI01	5	SC14	3			
CK10	5	MI02	5	Debentures				
CK11	3	MI03	5	SC15	3			
CK12	5	MI04	5	US Govt Securities				
CK13	5	MI05	5	SC16	3			
CK14	5	MI06	5	Mutual Fund Shares				
CK15	5	MI07	5	SC17	3			
CK16	5	MI08	5	Warrants (Rights)				
CK99		MI09	5	SC18	3			
<b>TRADITIONAL IRA, SEP IRA, SARSEP, and SIMPLE IRA</b>			MI99	5	Matured Bond Principal			
IR01	3	<b>MISCELLANEOUS CHECKS &amp; INTANGIBLE PERSONAL PROPERTY</b>			SC19	3		
IR02	3	MS01	1	Dividend Reinvestment Plans				
IR03	3	MS02	1	SC20	3			
<b>ROTH IRA</b>			MS03	5	Credit Balances			
IR05	3	MS04	5	SC99	3			
IR06	3	MS05	5	Aggregate Security Related Cash <\$50				
IR07	3	MS06	5	<b>MISCELLANEOUS</b>				
<b>SAFE DEPOSIT BOXES &amp; SAFEKEEPING</b>			MS07	5	ZZZZ	5		
SD01	2	MS08	5	Properties Not Identified Above				
SD02	5	MS09	5	<p>* Property should be reported prior to delivery of safe deposit box contents. The UPD will review the report and provide delivery instructions, if applicable.</p> <p>** 60% of the unredeemed portion of the face value if an expiration period is displayed on the certificate. Gift certificates with no expiration date, or a statement that the expiration date does not apply in North Carolina, do not escheat.</p> <p>^If as a result of retail business transaction, 3 year dormancy period; otherwise, 5 year dormancy period.</p>				
SD03	5	MS10	5					
<b>DEMUTUALIZATION</b>			MS11			5		
DM01	3	MS12	3					
DM02	3	MS13	5					
DM99	3	MS14	3					
<b>UTILITIES</b>			MS15			1		
UT01	1	MS16	5					
UT02	1	MS17	5					
UT03	1	MS18	5					
UT04	3	MS19	3					
UT99		MS20	5					
			MS99	5				